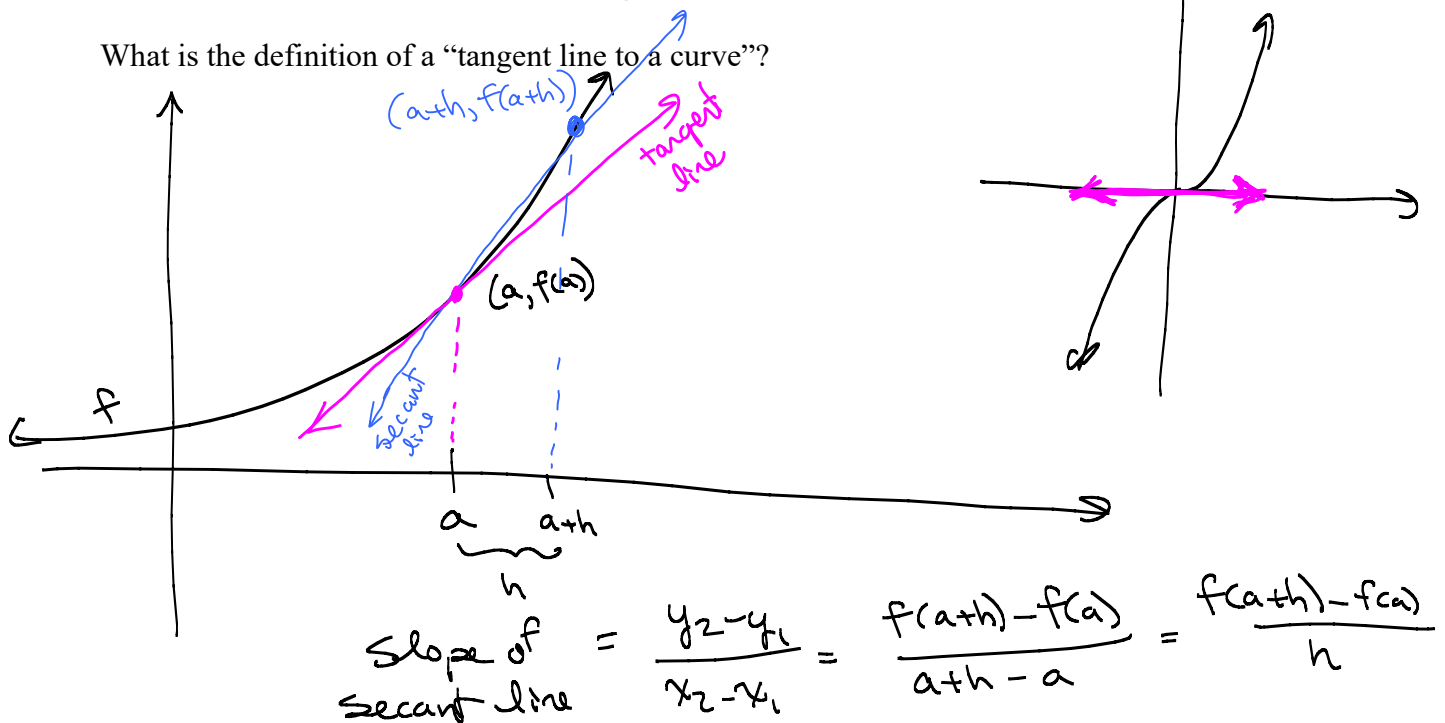


## 2.1: The Derivative and the Tangent Line Problem

What is the definition of a “tangent line to a curve”?



To answer the difficulty in writing a clear definition of a tangent line, we can define it as the limiting position of the secant line as the second point approaches the first.

**Definition:** The tangent line to the curve  $y = f(x)$  at the point  $(a, f(a))$  is the line through  $P$  with slope

$$m = \lim_{x \rightarrow a} \frac{f(x) - f(a)}{x - a} \text{ provided this limit exists.}$$

Equivalently,

$$m = \lim_{h \rightarrow 0} \frac{f(a+h) - f(a)}{h} \text{ provided this limit exists.}$$

**Note:** If the tangent line is vertical, this limit does not exist. In the case of a vertical tangent, the equation of the tangent line is  $x = a$ .

**Note:** The slope of the tangent line to the graph of  $f$  at the point  $(a, f(a))$  is also called the slope of the graph of  $f$  at  $x = a$ .

**How to get the second expression for slope:** Instead of using the points  $(a, f(a))$  and  $(x, f(x))$  on the secant line and letting  $x \rightarrow a$ , we can use  $(a, f(a))$  and  $(a+h, f(a+h))$  and let  $h \rightarrow 0$ .

$$(3+h)(3+h) = 9 + 3h + 3h + h^2 = 9 + 6h + h^2$$

Find the equations of the tangent line:

$$y - y_1 = m(x - x_1)$$

$$x_1 = 3, y_1 = 37, m = 24$$

$$y - 37 = 24(x - 3)$$

$$y - 37 = 24x - 72$$

$$y = 24x - 35$$

**Example 1:** Find the slope of the curve  $y = 4x^2 + 1$  at the point  $(3, 37)$ . Find the equation of the tangent line at this point.

$$m = \lim_{h \rightarrow 0} \frac{f(3+h) - f(3)}{h} = \lim_{h \rightarrow 0} \frac{4(3+h)^2 + 1 - [4(3)^2 + 1]}{h}$$

$$= \lim_{h \rightarrow 0} \frac{4(9 + 6h + h^2) + 1 - [36 + 1]}{h} = \lim_{h \rightarrow 0} \frac{36 + 24h + 4h^2 + 1 - 37}{h}$$

$$= \lim_{h \rightarrow 0} \frac{24h + 4h^2}{h} = \lim_{h \rightarrow 0} \frac{h(24 + 4h)}{h} = \lim_{h \rightarrow 0} (24 + 4h)$$

$$= 24 + 4(0) = 24$$

equation of tangent line

Really the slope of the tangent line

Slope of the curve: 24

**Example 2:** Find an equation of the tangent line to the curve  $y = x^3$  at the point  $(1, 1)$ .

Let's try the other version of the definition

Recall:

$$a^3 - b^3 = (a - b)(a^2 + ab + b^2)$$

$$a^3 + b^3 = (a + b)(a^2 - ab + b^2)$$

Find eqn of line:

$$y - y_1 = m(x - x_1)$$

$$y - 1 = 3(x - 1)$$

$$y - 1 = 3x - 3$$

$$y = 3x - 2$$

$$m = \lim_{x \rightarrow a} \frac{f(x) - f(a)}{x - a} = \lim_{x \rightarrow 1} \frac{f(x) - f(1)}{x - 1}$$

$$= \lim_{x \rightarrow 1} \frac{x^3 - 1}{x - 1}$$

$$= \lim_{x \rightarrow 1} \frac{(x-1)(x^2 + x + 1)}{x - 1}$$

$$= \lim_{x \rightarrow 1} (x^2 + x + 1) = 1^2 + 1 + 1 = 3$$

Here,  $a = 1$

eqn of tangent line

**Example 3:** Determine the equation of the tangent line to  $f(x) = \sqrt{x}$  at the point where  $x = 2$ .

## The derivative:

The derivative of a function at  $x$  is the slope of the tangent line at the point  $(x, f(x))$ . It is also the instantaneous rate of change of the function at  $x$ .

**Definition:** The *derivative* of a function  $f$  at  $x$  is the function  $f'$  whose value at  $x$  is given by

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h}, \text{ provided this limit exists.}$$

"f prime"

The process of finding derivatives is called differentiation. To differentiate a function means to find its derivative.

Equivalent ways of defining the derivative:

$$f'(x) = \lim_{\Delta x \rightarrow 0} \frac{f(x + \Delta x) - f(x)}{\Delta x} \quad (\text{Our book uses this one. It is identical to the definition above, except uses } \Delta x \text{ in place of } h.)$$

$$f'(x) = \lim_{w \rightarrow x} \frac{f(w) - f(x)}{w - x}$$

$$f'(a) = \lim_{x \rightarrow a} \frac{f(x) - f(a)}{x - a} \quad (\text{Gives the derivative at the specific point where } x = a.)$$

$$f'(a) = \lim_{h \rightarrow 0} \frac{f(a+h) - f(a)}{h} \quad (\text{Gives the derivative at the specific point where } x = a.)$$

**Example 4:** Suppose that  $g(x) = \frac{x^2 - 6x}{3}$ . Determine  $g'(x)$  and  $g'(3)$ .

Note:  $g(x) = \frac{1}{3}(x^2 - 6x)$   
 $= \frac{1}{3}x^2 - 2x$

$$g'(x) = \lim_{h \rightarrow 0} \frac{g(x+h) - g(x)}{h} = \lim_{h \rightarrow 0} \frac{\frac{1}{3}(x+h)^2 - 2(x+h) - [\frac{1}{3}x^2 - 2x]}{h}$$

Now find  $g'(3)$ :

$$= \lim_{h \rightarrow 0} \frac{\frac{1}{3}(x^2 + 2xh + h^2) - 2x - 2h - \frac{1}{3}x^2 + 2x}{h}$$

$$g'(x) = \frac{2}{3}x - 2$$

$$g'(3) = \frac{2}{3}(3) - 2$$

$$= \lim_{h \rightarrow 0} \frac{\frac{1}{3}x^2 + \frac{2}{3}xh + \frac{1}{3}h^2 - 2x - 2h - \frac{1}{3}x^2 + 2x}{h} = \lim_{h \rightarrow 0} \frac{\frac{2}{3}xh + \frac{1}{3}h^2 - 2h}{h}$$

$$= \frac{\frac{2}{3}xh + \frac{1}{3}h^2 - 2h}{h}$$

$$= \lim_{h \rightarrow 0} \frac{h(\frac{2}{3}x + \frac{1}{3}h - 2)}{h} = \lim_{h \rightarrow 0} (\frac{2}{3}x + \frac{1}{3}h - 2) = \frac{2}{3}x + \frac{1}{3}(0) - 2 = \frac{2}{3}x - 2$$

$$g'(x) = \frac{2}{3}x - 2$$

$$= 2 - 2 = 0$$

Note:  $f(2) = \sqrt{2^2+1} = \sqrt{5}$   
 so our point is  $(2, \sqrt{5})$

**Example 5:** Suppose that  $f(x) = \sqrt{x^2+1}$ . Find the equation of the tangent line at the point where  $x=2$ .

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} = \lim_{h \rightarrow 0} \frac{\sqrt{(x+h)^2+1} - \sqrt{x^2+1}}{h}$$

$$= \lim_{h \rightarrow 0} \frac{\sqrt{(x+h)^2+1} - \sqrt{x^2+1}}{h} \cdot \left( \frac{\sqrt{(x+h)^2+1} + \sqrt{x^2+1}}{\sqrt{(x+h)^2+1} + \sqrt{x^2+1}} \right) = \lim_{h \rightarrow 0} \frac{(x+h)^2+1 - (x^2+1)}{h(\sqrt{(x+h)^2+1} + \sqrt{x^2+1})}$$

$$= \lim_{h \rightarrow 0} \frac{x^2 + 2xh + h^2 + 1 - x^2 - 1}{h(\sqrt{(x+h)^2+1} + \sqrt{x^2+1})} = \lim_{h \rightarrow 0} \frac{2xh + h^2}{h(\sqrt{(x+h)^2+1} + \sqrt{x^2+1})}$$

$$= \lim_{h \rightarrow 0} \frac{h(2x+h)}{h(\sqrt{(x+h)^2+1} + \sqrt{x^2+1})} = \lim_{h \rightarrow 0} \frac{2x+h}{\sqrt{(x+h)^2+1} + \sqrt{x^2+1}} = \frac{2x+0}{\sqrt{(x+0)^2+1} + \sqrt{x^2+1}} = \frac{2x}{2\sqrt{x^2+1}}$$

To find slope where  $x=2$ , substitute 2:

$$m = f'(2) = \frac{2}{\sqrt{2^2+1}} = \frac{2}{\sqrt{5}} = \frac{2\sqrt{5}}{5}$$

From earlier,  $(x_1, y_1) = (2, \sqrt{5})$

Find eqn of tangent line

$$y - y_1 = m(x - x_1)$$

$$y - \sqrt{5} = \frac{2\sqrt{5}}{5}(x - 2)$$

$$y - \sqrt{5} = \frac{2\sqrt{5}}{5}x - \frac{4\sqrt{5}}{5}$$

$$f'(x) = \frac{x}{\sqrt{x^2+1}}$$

$$y = \frac{2\sqrt{5}}{5}x - \frac{4\sqrt{5}}{5} + \sqrt{5} \left( \frac{5}{5} \right)$$

$$y = \frac{2\sqrt{5}}{5}x + \frac{\sqrt{5}}{5}$$

**Example 6:** Determine the equation of the tangent line to  $f(x) = \frac{x-2}{x^2+1}$  at the point  $\left(-2, -\frac{4}{5}\right)$ .

**Summary:**

The slope of the secant line between two points is often called a difference quotient. The difference quotient of  $f$  at  $a$  can be written in either of the forms below.

$$\frac{f(x) - f(a)}{x - a} \qquad \frac{f(a+h) - f(a)}{h}$$

Both of these give the slope of the secant line between two points:  $(x, f(x))$  and  $(a, f(a))$  or, alternatively,  $(a, f(a))$  and  $(a+h, f(a+h))$ .

The slope of the secant line is also the average rate of change of  $f$  between the two points.

The derivative of  $f$  at  $a$  is:

1) the limit of the slopes of the secant lines as the second point approaches the point  $(a, f(a))$ .

2) the slope of the tangent line to the curve  $y = f(x)$  at the point where  $x = a$ . *(often called the slope of the curve)*

3) the (instantaneous) rate of change of  $f$  with respect to  $x$  at  $a$ .

4)  $\lim_{x \rightarrow a} \frac{f(x) - f(a)}{x - a}$  (limit of the difference quotient)

5)  $\lim_{h \rightarrow 0} \frac{f(a+h) - f(a)}{h}$  (limit of the difference quotient)

**Common notations for the derivative of  $y = f(x)$ :**

$$f'(x) \qquad \frac{d}{dx} f(x) \qquad y' \qquad D_x f(x) \qquad \frac{dy}{dx} \qquad Df(x)$$

The notation  $\frac{dy}{dx}$  was created by Gottfried Wilhelm Leibniz and means  $\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{\Delta y}{\Delta x}$ .  ~~$\lim_{\Delta x \rightarrow 0} \frac{\Delta y}{\Delta x}$~~

To evaluate the derivative at a particular number  $a$ , we write

*Ex:*  
Suppose  $f'(x) = x^3 - 3x$

$$f'(a) \text{ or } \left. \frac{dy}{dx} \right|_{x=a}$$

$$f'(4) = \left. (x^3 - 3x) \right|_{x=4} = 4^3 - 3(4) = 64 - 12 = \boxed{52}$$

**Differentiability:**

Definition: A function  $f$  is *differentiable* at  $a$  if  $f'(a)$  exists. It is *differentiable on an open interval* if it is differentiable at every number in the interval.

Theorem: If  $f$  is differentiable at  $a$ , then  $f$  is continuous at  $a$ .

Note: The converse is not true—there are functions that are continuous at a number but not differentiable.

Note: Open intervals:  $(a, b)$ ,  $(-\infty, a)$ ,  $(a, \infty)$ ,  $(-\infty, \infty)$ .

Closed intervals:  $[a, b]$ ,  $(-\infty, a]$ ,  $[a, \infty)$ ,  $(-\infty, \infty)$ .

To discuss differentiability on a closed interval, we need the concept of a *one-sided derivative*.

Derivative from the left:  $\lim_{x \rightarrow a^-} \frac{f(x) - f(a)}{x - a}$

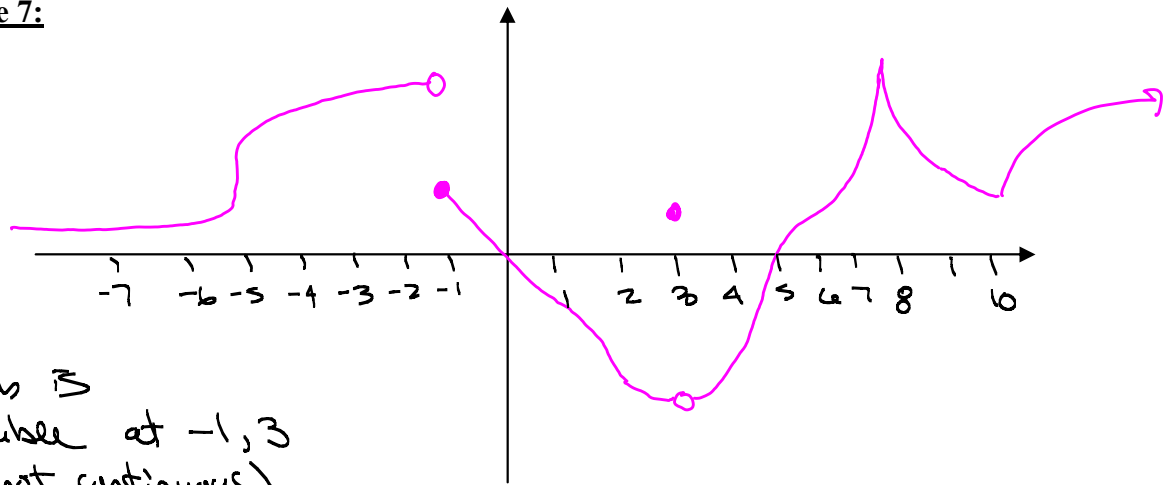
Derivative from the right:  $\lim_{x \rightarrow a^+} \frac{f(x) - f(a)}{x - a}$

For a function  $f$  to be differentiable on the closed interval  $[a, b]$ , it must be differentiable on the open interval  $(a, b)$ . In addition, the derivative from the right at  $a$  must exist, and the derivative from the left at  $b$  must exist.

Ways in which a function can fail to be differentiable:

1. Sharp corner
2. Cusp
3. Vertical tangent (vertical lines have undefined slope)
4. Discontinuity

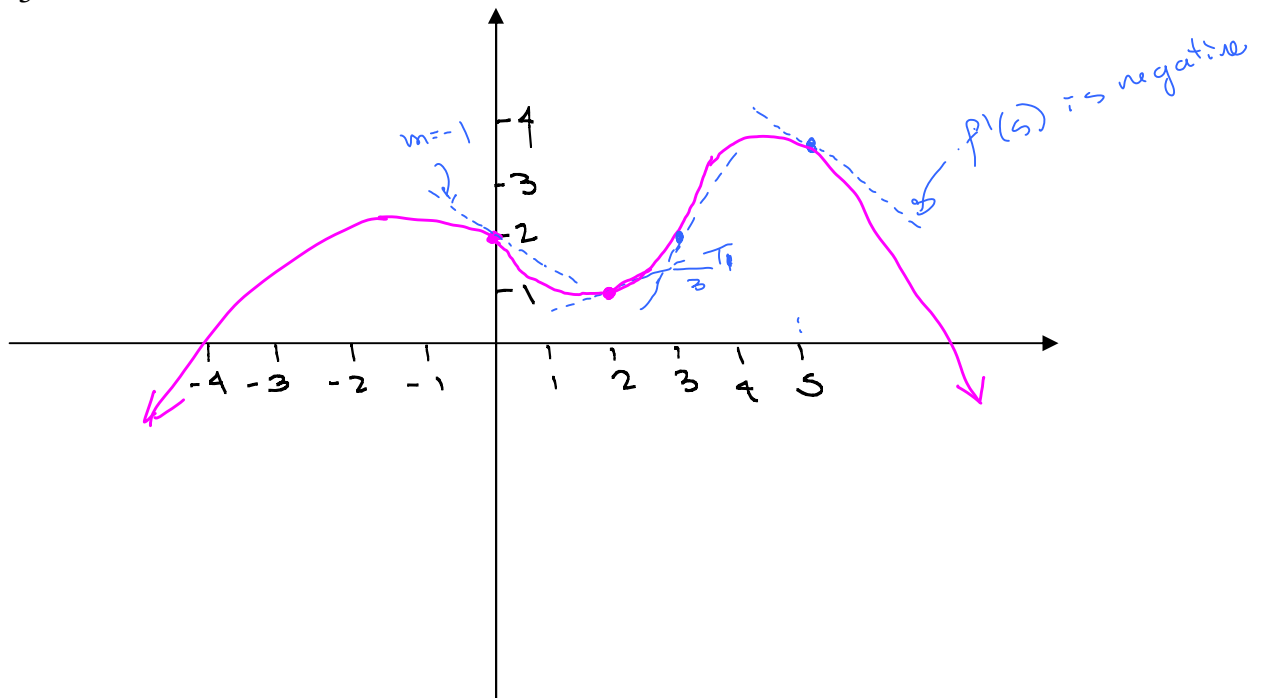
Cusp: the derivative at  $a$  is undefined, because the slopes of the tangent line as  $x \rightarrow a^-$  approach  $+\infty$ , while the slopes as  $x \rightarrow a^+$  approach  $-\infty$   
(or vice versa)

**Example 7:**

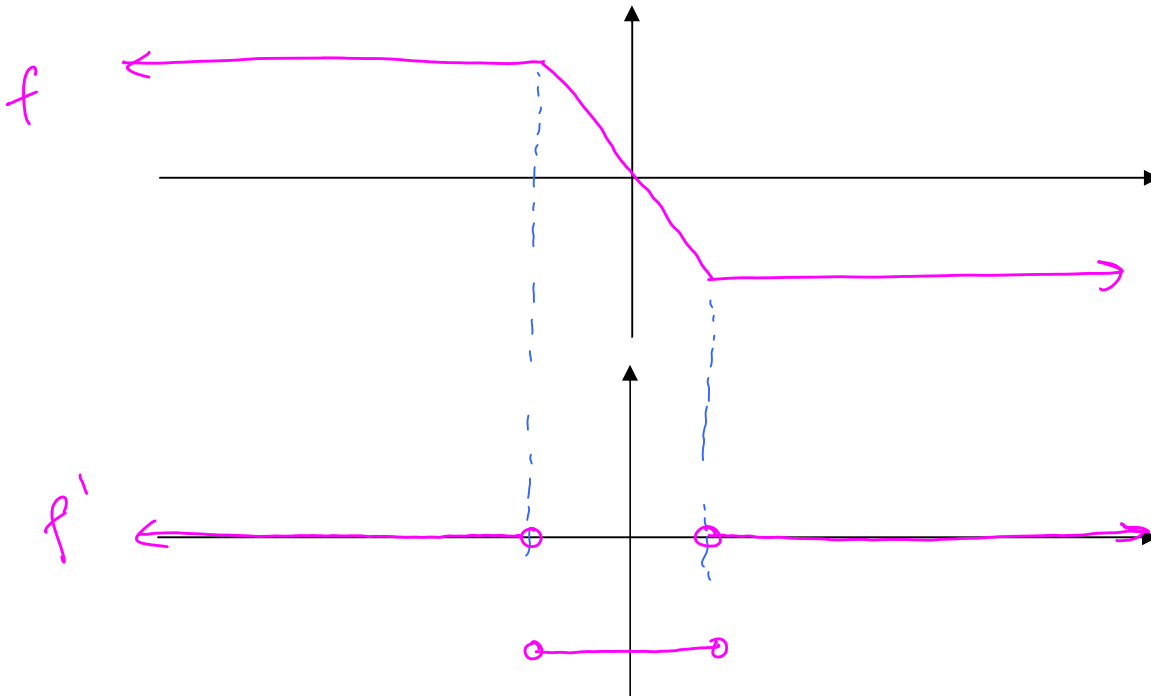
This function is  
not differentiable at  $-1, 3$   
(not continuous)

Also not differentiable at  
8 (cusp)  
10 (sharp corner)  
 $-5$  (vertical tangent)

**Example 8:** Sketch the graph of a function for which  $f(0) = 2$ ,  $f'(0) = -1$ ,  $f(2) = 1$ ,  
 $f'(2) = \frac{1}{3}$ ,  $f'(3) > f'(2)$ , and  $f'(5) < 0$ .



**Example 9:** Use the graph of the function to draw the graph of the derivative.



**Example 10:** Use the graph of the function to draw the graph of the derivative.

