

## *Fairness Criteria for Determining a Winner in an Election:*



### The Majority Criterion:

If a candidate receives a majority of first-place votes, then that candidate should win the election.

Example:

Number of votes	27	24	2
1 <sup>st</sup>	A	B	C
2 <sup>nd</sup>	C	C	B
3 <sup>rd</sup>	B	A	A

Find the Borda count winner:

Candidate	3 <sup>rd</sup> –place points	2 <sup>nd</sup> –place points	1 <sup>st</sup> –place points	Total
A	$26 \cdot 1 = 26$	$0 \cdot 2 = 0$	$27 \cdot 3 = 81$	107
B	$27 \cdot 1 = 27$	$2 \cdot 2 = 4$	$24 \cdot 3 = 72$	103
C	$0 \cdot 1 = 0$	$51 \cdot 2 = 102$	$2 \cdot 3 = 6$	108

C is the Borda count winner.

**Which candidate received a majority of first-place votes?**

Candidate A received 27 first-place votes which is a majority.

**In this election, did the Borda count method satisfy or violate the majority criterion?**

A received a majority of first-place votes but was not the Borda count winner; instead C is the Borda count winner, so in this election, the Borda count method violated the majority criterion.

**Conclusion about the Borda count method:**

The Borda count method is not a fair method because it doesn't always satisfy the majority criterion.

### The Head-to-Head Criterion:

If one candidate is favored over all the other candidates in head-to-head comparisons, then that candidate should win the election.

Example:

Number of votes	20	19	5
1 <sup>st</sup>	A	B	C
2 <sup>nd</sup>	B	C	B
3 <sup>rd</sup>	C	A	A



Find the plurality winner.

A received the most first-place votes with 20, so A is the plurality winner.

Head-to-head comparison	Result
A vs. B	B is preferred.
A vs. C	C is preferred.
B vs. C	B is preferred.

**Which candidate is preferred over all the other candidates?**

B is preferred over A and C, so B is preferred over all the other candidates.

**In this election, did the plurality method satisfy or violate the head-to-head criterion?**

B is favored over all the other candidates, but B is not the plurality winner; instead, A is the plurality winner, so in this case, the plurality method violated the head-to-head criterion.

**Conclusion about the plurality method:**

The plurality method is not a fair method because it doesn't always satisfy the head-to-head criterion.

### The Monotonicity Criterion:

If a candidate wins an election, and in a re-election, the only changes are changes that favor that candidate, then that candidate should win the re-election.

Examples:

1.

Number of votes	14	12	10	6
1 <sup>st</sup>	C	B	A	A
2 <sup>nd</sup>	A	C	B	C
3 <sup>rd</sup>	B	A	C	B

Find the plurality-with-elimination winner.

A majority is 22 or more. B must be eliminated.

Number of votes	14	12	10	6
1 <sup>st</sup>	C	C	A	A
2 <sup>nd</sup>	A	A	C	C

C is the plurality-with-elimination winner.

It was determined that an error occurred in the voting process, and the 6 ballots for the order A,C,B should have been for C,A,B. This is a change that favors candidate C.

Number of votes	20	12	10
1 <sup>st</sup>	C	B	A
2 <sup>nd</sup>	A	C	B
3 <sup>rd</sup>	B	A	C

Find the plurality-with-elimination winner of the re-election.

This time A is eliminated.

Number of votes	20	12	10
1 <sup>st</sup>	C	B	B
2 <sup>nd</sup>	B	C	C

B is the plurality-with-elimination winner.

In this case, did the plurality-with-elimination method satisfy or violate the monotonicity criterion?

C won the original election, the change was favorable to C, but C didn't win the re-election; instead B won the re-election, so in this case, the plurality-with-elimination method violated the monotonicity criterion.

## Conclusion about the plurality-with-elimination method:

The plurality-with-elimination method is not a fair method because it doesn't always satisfy the monotonicity criterion.

2.

Number of Votes	50	40	20	5
1 <sup>st</sup> choice	A	C	C	B
2 <sup>nd</sup> choice	B	A	B	C
3 <sup>rd</sup> choice	C	B	A	A

Candidate	1 <sup>st</sup> place count	2 <sup>nd</sup> place count	3 <sup>rd</sup> place count	Borda count
A	$3 \cdot 50 = 150$	$2 \cdot 40 = 80$	$1 \cdot 25 = 25$	<b>255</b>
B	$3 \cdot 5 = 15$	$2 \cdot 70 = 140$	$1 \cdot 40 = 40$	<b>195</b>
C	$3 \cdot 60 = 180$	$2 \cdot 5 = 10$	$1 \cdot 50 = 50$	<b>240</b>

So the Borda count winner is A.

The 5 ballots for the order B,C,A, are changed to the order A,B,C, resulting in the following new preference table for the re-election(*This change favors candidate A.*)

Number of Votes	55	40	20
1 <sup>st</sup> choice	A	C	C
2 <sup>nd</sup> choice	B	A	B
3 <sup>rd</sup> choice	C	B	A

And the new Borda counts are in the table below.

Candidate	1 <sup>st</sup> place count	2 <sup>nd</sup> place count	3 <sup>rd</sup> place count	Borda count
A	$3 \cdot 55 = 165$	$2 \cdot 40 = 80$	$1 \cdot 20 = 20$	<b>265</b>
B	$3 \cdot 0 = 0$	$2 \cdot 75 = 150$	$1 \cdot 40 = 40$	<b>190</b>
C	$3 \cdot 60 = 180$	$2 \cdot 0 = 0$	$1 \cdot 55 = 55$	<b>235</b>

So the Borda Count winner of the re-election is A .

In this case, did the Borda count method satisfy or violate the monotonicity criterion?

Explain.

A won the election and the re-election, so the Borda count method satisfied the monotonicity criterion, in this case.



### The Irrelevant Alternatives Criterion:



If a candidate wins an election, and in a re-election, the only change is that one or more of the other candidates are removed from the ballot, then that same candidate should win the re-election.

**Example:**

Number of votes	7	6	2
1 <sup>st</sup>	A	B	C
2 <sup>nd</sup>	B	C	B
3 <sup>rd</sup>	C	A	A

**Find the plurality winner.**

A received the most first-place votes with 7, so A is the plurality winner.

**Suppose that candidate C shouldn't have been on the ballot.**

Number of votes	7	6	2
1 <sup>st</sup>	A	B	B
2 <sup>nd</sup>	B	A	A

**Find the plurality winner of the re-election.**

B received the most first-place votes with 8, so B is the plurality winner.

**In this case, did the plurality method satisfy or violate the irrelevant alternatives criterion?**

A won the original election, C was removed from the ballot, but A didn't win the re-election; instead, B won the re-election, so in this case the plurality method violated the irrelevant alternatives criterion.

**Conclusion about the plurality method:**

The plurality method is not a fair method because it doesn't always satisfy the irrelevant alternatives criterion.

**A procedure for determining a winner in an election is considered fair if it is impossible for it to violate any of the four fairness criteria.**

**In 1951, economist Kenneth Arrow proved the following result(Nobel Prize 1972):**

**Arrow's Impossibility Theorem:**



**It is impossible for any democratic voting procedure to always satisfy the four fairness criteria.**