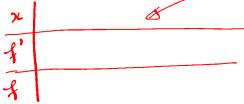
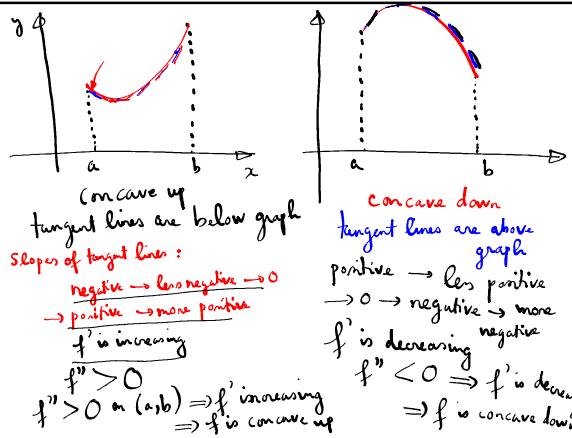


Last time: 1<sup>st</sup> derivative test

$f' > 0$  on I  $\Rightarrow f$  is increasing  
 $f' < 0$  on I  $\Rightarrow f$  is decreasing  
 $x$  | values of  $x$  at which  $f' = 0$  or undefined



### 3.4. Concavity and the second derivative test.



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### Test for Concavity

- ①  $f'' > 0$  on an interval  $\Rightarrow f$  is concave up on that interval.  
 ②  $f'' < 0$  on an interval  $\Rightarrow f$  is concave down on that interval.

Happy  $\rightarrow$  positive Sad  $\rightarrow$  negative

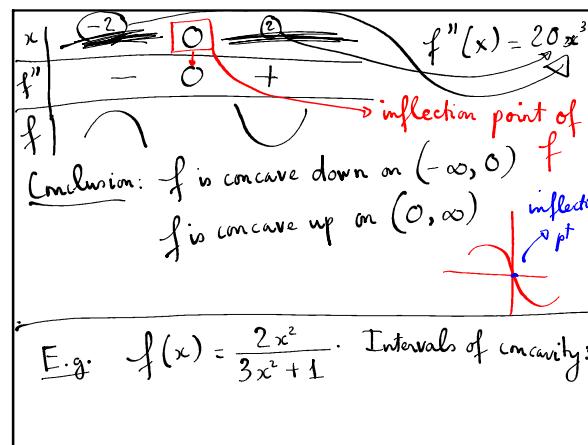
\* Find intervals of concavity of a function.  
 ① Find  $f''$ . ② Find values of  $x$  at which  $f''$  is undefined.

③ Make a table

$$\begin{array}{c|ccc} x & -\infty & 0 & \infty \\ \hline f'' & + & - & + \\ f & \curvearrowup & \curvearrowdown & \curvearrowup \end{array}$$

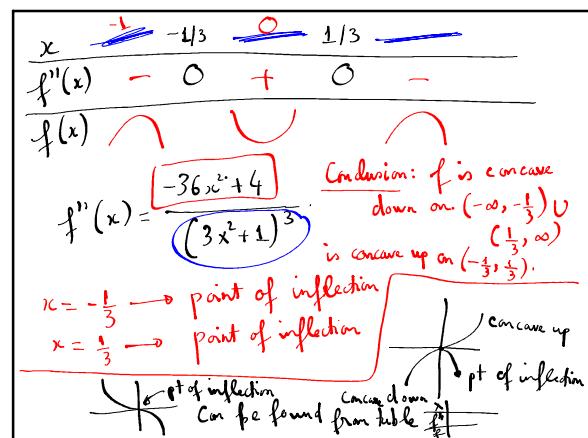
E.g.  $f(x) = x^5 - 5x + 2$ . Determine intervals of concavity.  
 $f'(x) = 5x^4 - 5$ .  $f''(x) = 20x^3$   
 $f'' = 0$  when  $x = 0$

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$$\begin{aligned} f'(x) &= \frac{4x \cdot (3x^2+1) - 6x \cdot (2x^2)}{(3x^2+1)^2} & f(x) &= \frac{2x^2}{3x^2+1} \\ f'(x) &= \frac{12x^3 + 4x - 12x^2}{(3x^2+1)^2} = \frac{4x}{(3x^2+1)^2} \\ f''(x) &= \frac{4 \cdot (3x^2+1)^2 - 2(3x^2+1) \cdot 6x \cdot 4x}{(3x^2+1)^4} \\ &= \frac{(3x^2+1)[4(3x^2+1) - 48x^2]}{(3x^2+1)^4} = \frac{-36x^2+4}{(3x^2+1)^3}. \\ f''(x) &= \frac{-36x^2+4}{(3x^2+1)^3} \quad f'' = 0 \quad f'' \text{ undefined} \\ &\text{always } > 0 \\ f'' = 0 \text{ when } -36x^2+4 = 0; \quad -36x^2 &= -4; \\ x^2 &= \frac{1}{9}; \quad x = \pm \frac{1}{3} \end{aligned}$$



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2<sup>nd</sup> derivative test: another strategy to find relative extrema.

$f'(c) = 0$   
rel. min.  $f''(c) > 0$

$f'(c) = 0$   
rel. max.  $f''(c) < 0$

2<sup>nd</sup> derivative test.

If  $f'(c) = 0$  and  $f''(c) > 0$ , then  $f$  has a rel. min. at  $x=c$ .

If  $f'(c) = 0$  and  $f''(c) < 0$ , then  $f$  has a rel. max. at  $x=c$ .

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E.g. of using second derivative test to find extrema

$f(x) = -x^4 + 4x^3 + 8x^2$

Find rel. extrema of  $f$  using the second derivative test.

$f'(x) = -4x^3 + 12x^2 + 16x$

$\Rightarrow f''(x) = -12x^2 + 24x + 16$

\*  $f'(x) = 0 \Rightarrow -4x^3 + 12x^2 + 16x = 0$   
 $-4x(x^2 - 3x - 4) = 0$   
 $-4x(x-4)(x+1) = 0$

$x = 0, 4, -1$  candidates for rel max/min

Plug  $x=0$  into  $f''(x)$ :  $f''(0) = 16 > 0$   
 $\Rightarrow x=0$  corresponds to a rel. min.

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$x=4$  plug into  $f''$ :  $f''(4) < 0$   
 $\Rightarrow$  gives a rel. max

$x=-1$   $f''(-1) < 0$   
 $\Rightarrow$  gives a rel. max

$f(x) = x^4$   $f'(x) = 4x^3$ ;  $f''(x) = 12x^2$

\* Note: If  $f'(c) = 0$  and  $f''(c) = 0$   
then 2<sup>nd</sup> derivative test fails. (inconclusive)

$f(x) = x^3$   
 $f'(x) = 3x^2$   
 $f''(x) = 6x$

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